

S. P. Date & Associates

Company Secretaries

I/501 Pranay Nagar, Ram Mandir Road (Extn.), Near Vazira Naka, Borivali (West), Mumbai – 400 092

E-mail: shridate24@gmail.com

Mobile: +91 98209 37505

ANNUAL SECRETARIAL COMPLIANCE REPORT

Secretarial Compliance Report of Abans Enterprises Limited for The Financial Year Ended 31st March, 2021

[Pursuant to 24A of SEBI (Listing Obligations and Disclosure Requirements) regulations, 2015]

To,
The Members,
Abans Enterprises Limited

I have examined:

- (a) all the documents and records made available to us and explanation provided by Abans Enterprises Limited (“**the listed entity**”),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31st March, 2021 in respect of compliance with the provisions of :
 - (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
 - (b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (Not applicable during the review period)
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; (Not applicable during the review period)
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not applicable during the review period)
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; (Not applicable during the review period)
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not applicable during the review period)
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; (Not applicable during the review period)
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

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- (i) SEBI Circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019 on Resignation of statutory auditors from listed entities and their material subsidiaries and circulars/ guidelines issued thereunder;

and based on the above examination, I/We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks
	1. Delayed compliance of Regulation 17(1)(a) and (c) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015; Penalties levied by the BSE and MSEI have been waived.		

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action Taken by	Details of Violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ Remarks
	1. Penalties levied by BSE and MSEI for non-compliance of Regulation 17(1)(a) and (c) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015; Upon Company's application to the Stock Exchanges the penalties have been waived by them and the Company is in compliance with the above Regulation.			

- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

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Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
Not Applicable				

(e) The following are the qualifications as per our audit findings: NA

**For S. P. DATE & ASSOCIATES
Company Secretaries**

Place: Mumbai

Date: 30 June 2021

UDIN: A002018C000544813

**SHRIKRISHNA PANDURANG DATE
(Company Secretary in Practice)
ACS No. 2018; C.P. No. 14247**